

TO

OFFICE OF GENERAL COUNSEL

DATE: July 1, 1999

ANALYST: Edward D. Ryan

I. COMMITTEE: National Marine Manufacturers Association  
Political Action Committee  
(C00245548)  
Mick Blackistone, Treasurer  
1819 L Street, NW, Suite 700  
Washington, DC 20036

II. RELEVANT STATUTE: 2 U.S.C. §434(a)(4)(A)(i),(ii)&(iv)  
11 CFR §104.5(c)(1)(i),(ii)&(2)(i)

III. BACKGROUND:

Chronic Late Filing of Reports

The National Marine Manufacturers Association Political Action Committee ("the Committee") has failed to file three (3) Reports of Receipts and Disbursements in a timely manner for the 1997-1998 election cycle (see chart on page 3). The reports were filed between forty (40) and fifty-one (51) calendar days late.

On October 9, 1998, a Chronic Late Filer Notice was sent to the Committee for failing to file the 1997 Year End and 1998 April Quarterly Reports in a timely manner (Attachment 10). The Notice advised the Committee that any additional late filing of reports may result in legal enforcement action. Subsequent to mailing the Chronic Late Filer Notice, the Committee failed to file the 1998 12 Day Pre-General Report.

On December 1, 1998, the Committee filed the 1998 30 Day Post-General Report. The report disclosed a \$1,000 contribution to a federal candidate during

2004-06-10 02:04:44

THE NATIONAL MARINE MANUFACTURERS ASSOCIATION PAC  
REPORTS ANALYSIS OGC REFERRAL  
PAGE 2

the 12 Day Pre-General reporting period (10/1/98-10/14/98), thus requiring the filing of such a report (Attachment 11).

# LATE FILING HISTORY

| REPORT TYPE                                 | PRIOR NOTICE                                       | DATE DUE | NON-FILER NOTICE        | DATE FILED               |
|---|--|----------|-------------------------|--------------------------|
| 1997 Year End Report<br>(7/01/97-12/31/97)  | 12/30/96 (Attachment 2)<br>12/29/97 (Attachment 3) | 01/31/98 | 02/23/98 (Attachment 4) | 03/23/98 (Attachment 6)  |
| 1998 April Quarterly<br>(01/01/98-03/31/98) | 12/29/97 (Attachment 3)<br>03/20/98 (Attachment 5) | 04/15/98 | 05/07/98 (Attachment 7) | 06/02/98 (Attachment 8)  |
| 1998 12 Day General<br>(10/01/98-10/14/98)  | 12/29/97 (Attachment 3)<br>09/30/98 (Attachment 9) | 10/22/98 | N/A                     | 12/01/98 (Attachment 11) |



FEDERAL ELECTION COMMISSION  
1997-1998  
COMMITTEE INDEX OF DISCLOSURE DOCUMENTS - (C)

Attachment # 1  
Page 1 of 1

DATE 6/29/1999

PAGE 1

| COMMITTEE  | DOCUMENT                                    | RECEIPTS | DISBURSEMENTS | COVERAGE DATES   | # OF PAGES          | IMAGE LOCATION |
|--|---|----------|---------------|------------------|---------------------|----------------|
|  |   |          |               |                  | TYPE OF FILER       |                |
| NATIONAL MARINE MANUFACTURERS ASSOCIATION POLITICAL ACTION COMMITTEE |   |          |               | ID #C00245548    | NON-PARTY QUALIFIED |                |
| CONNECTED ORGANIZATION: NATIONAL MARINE MANUFACTURERS ASSOC          |   |          |               |                  |                     |                |
| 1997   | MID-YEAR REPORT                             | 34,160   | 3,500         | 1JAN97 -30JUN97  | 9                   | 97FEC/213/0881 |
|  | 1 <sup>ST</sup> LETTER INFORMATIONAL NOTICE |          |               | 1JAN97 -30JUN97  | 2                   | 98FEC/271/0888 |
|  | YEAR-END                                    | 4,597    | 500           | 1JUL97 -31DEC97  | 5                   | 98FEC/289/0230 |
|  | NOTICE OF FAILURE TO FILE                   |          |               | 1JUL97 -31DEC97  | 2                   | 98FEC/285/0841 |
| 1998   | MISCELLANEOUS NOTICE FROM FEC               |          |               | 9OCT98           | 2                   | 98FEC/360/2934 |
|  | APRIL QUARTERLY                             | 0        | 1,000         | 1JAN98 -31MAR98  | 4                   | 98FEC/315/2604 |
|  | NOTICE OF FAILURE TO FILE                   |          |               | 1JAN98 -31MAR98  | 2                   | 98FEC/309/4336 |
|  | JULY QUARTERLY                              | 24,241   | 7,000         | 1APR98 -30JUN98  | 9                   | 98FEC/336/1450 |
|  | JULY QUARTERLY - AMENDMENT                  | 22,973   | 7,000         | 1APR98 -30JUN98  | 10                  | 98FEC/364/2293 |
|  | REQUEST FOR ADDITIONAL INFORMATION          |          |               | 1APR98 -30JUN98  | 2                   | 98FEC/359/2648 |
|  | OCTOBER QUARTERLY                           | 4,578    | 35,000        | 1JUL98 -30SEP98  | 10                  | 98FEC/364/2303 |
|  | POST-GENERAL                                | 10,037   | 15,000        | 1OCT98 -23NOV98  | 9                   | 98FEC/395/2967 |
|  | YEAR-END                                    | 814      | 0             | 24NOV98 -31DEC98 | 4                   | 99FEC/421/2565 |
|  | TOTAL                                       | 77,159   | 0             | 62,000           | 0                   | 70 TOTAL PAGES |

All reports have been reviewed.

Ending Cash-on Hand as of 12/31/98: \$26,691.62

Debts and obligations owed to the committee: \$0.00

Debts and obligations owed by the committee: \$0.00

# YEAR-END REPORT NOTICE

## FEDERAL ELECTION COMMISSION

PARTIES AND PACS

December 30, 1996

### QUARTERLY AND MONTHLY FILERS

| REPORT   | REPORTING PERIOD*   | REG./CERT.<br>MAILING<br>DATE** | FILING<br>DATE |
|----------|---------------------|---------------------------------|----------------|
| Year-End | 11/26/96 - 12/31/96 | 01/31/97                        | 01/31/97       |

### WHO MUST FILE

All party committees and PACs (nonconnected committees and separate segregated funds) must file a Year-End Report.

### LABEL

Committees should affix the peel-off label from the envelope to Line 1 of the report. Corrections should be made on the label.

### COMPLIANCE

Treasurers of political committees are responsible for filing all reports on time. Failure to do so is subject to enforcement action. Committees filing illegible reports or using non-FEC forms (except for FEC approved computer generated forms) will be required to refile.

\*These dates indicate the beginning and the end of the reporting period. A reporting period always begins the day after the closing date of the last report filed. If the committee is new and has not previously filed a report, the first report must cover all activity that occurred before the committee registered.

\*\*Reports sent registered or certified mail must be postmarked by the mailing date; otherwise, they must be received by the filing date.

(over)

PARTIES AND PACS

YEAR-END

**1997 REPORTING SCHEDULE  
PARTIES AND PACS\***

**I. SEMIANNUAL FILERS\*\***

| REPORT   | REPORTING PERIOD    | REG./CERT.         | FILING   |
|----------|---------------------|--------------------|----------|
|          |                     | MAILING<br>DATE*** | DATE     |
| Mid-Year | 01/01/97 - 06/30/97 | 07/31/97           | 07/31/97 |
| Year-End | 07/01/97 - 12/31/97 | 01/31/98           | 01/31/98 |

**II. MONTHLY FILERS**

| REPORT    | REPORTING PERIOD    | REG./CERT.         | FILING   |
|-----------|---------------------|--------------------|----------|
|           |                     | MAILING<br>DATE*** | DATE     |
| February  | 01/01/97 - 01/31/97 | 02/20/97           | 02/20/97 |
| March     | 02/01/97 - 02/28/97 | 03/20/97           | 03/20/97 |
| April     | 03/01/97 - 03/31/97 | 04/20/97           | 04/20/97 |
| May       | 04/01/97 - 04/30/97 | 05/20/97           | 05/20/97 |
| June      | 05/01/97 - 05/31/97 | 06/20/97           | 06/20/97 |
| July      | 06/01/97 - 06/30/97 | 07/20/97           | 07/20/97 |
| August    | 07/01/97 - 07/31/97 | 08/20/97           | 08/20/97 |
| September | 08/01/97 - 08/31/97 | 09/20/97           | 09/20/97 |
| October   | 09/01/97 - 09/30/97 | 10/20/97           | 10/20/97 |
| November  | 10/01/97 - 10/31/97 | 11/20/97           | 11/20/97 |
| December  | 11/01/97 - 11/30/97 | 12/20/97           | 12/20/97 |
| Year-End  | 12/01/97 - 12/31/97 | 01/31/98           | 01/31/98 |

\*Committees that wish to change their filing status must notify the Commission in writing when they file their next report due under their current reporting schedule.

\*\*Committees that filed quarterly reports in 1996 are only required to file semiannually in 1997.

\*\*\*Reports sent registered or certified mail must be postmarked by the mailing date; otherwise, they must be received by the filing date.

FOR MORE INFORMATION CALL: 800/424-9530 OR 202/219-3420

YEAR-END

**REPORT NOTICE****FEDERAL ELECTION COMMISSION****PARTIES AND PACS**

December 29, 1997

**WHO MUST FILE**

Party committees and PACs (Nonconnected Committees and Separate Segregated Funds) must file a Year-End Report on January 31, 1998.

**I. Semi-annual Filers**

| REPORT   | REPORTING PERIOD*   | REG./CERT.        | FILING   |
|----------|---------------------|-------------------|----------|
|          |                     | MAILING<br>DATE** | DATE     |
| Year-End | 07/01/97 - 12/31/97 | 01/31/98          | 01/31/98 |

**II. Monthly Filers**

| REPORT   | REPORTING PERIOD*   | REG./CERT.        | FILING   |
|----------|---------------------|-------------------|----------|
|          |                     | MAILING<br>DATE** | DATE     |
| Year-End | 12/01/97 - 12/31/97 | 01/31/98          | 01/31/98 |

**LABEL**

Committees should affix the peel-off label from the envelope to line 1 of the report. Corrections should be made on the label.

**COMPLIANCE**

Treasurers of political committees are responsible for filing all reports on time. Failure to do so is subject to enforcement action. Committees filing illegible reports or using non-FEC forms (except for FEC approved computer generated forms) will be required to refile.

**ELECTRONIC FILING**

For reports covering activity occurring in 1997 (and later), political committees have the option of filing electronically. If you are interested in this option, review the enclosed handout entitled "Electronic Filing Gets Underway at FEC," and call the Data Division at (800) 424-9530 or (202) 219-3730.

\*These dates indicate the beginning and the end of the reporting period. A reporting period always begins the day after the closing date of the last report filed. If the committee is new and has not previously filed a report, the first report must cover all activity that occurred before the committee registered.

\*\* Reports sent by registered or certified mail must be postmarked by the mailing date; otherwise, they must be received by the filing date.

(over)

## PARTIES AND PACS

## YEAR-END

## 1998 FILING SCHEDULE

## I. QUARTERLY FILERS

| REPORT            | REPORTING PERIOD*   | REG./CERT.     | FILING DATE |
|-------------------|---------------------|----------------|-------------|
|                   |                     | MAILING DATE** |             |
| April Quarterly   | 01/01/98 - 03/31/98 | 04/15/98       | 04/15/98    |
| July Quarterly    | 04/01/98 - 06/30/98 | 07/15/98       | 07/15/98    |
| October Quarterly | 07/01/98 - 09/30/98 | 10/15/98       | 10/15/98    |
| Pre-General       | 10/01/98 - 10/14/98 | 10/19/98       | 10/22/98    |
| Post-General      | 10/15/98 - 11/23/98 | 12/03/98       | 12/03/98    |
| Year-End          | 11/24/98 - 12/31/98 | 01/31/99       | 01/31/99    |

## II. MONTHLY FILERS

| REPORT       | REPORTING PERIOD*   | REG./CERT.     | FILING DATE |
|--------------|---------------------|----------------|-------------|
|              |                     | MAILING DATE** |             |
| February     | 01/01/98 - 01/31/98 | 02/20/98       | 02/20/98    |
| March        | 02/01/98 - 02/28/98 | 03/20/98       | 03/20/98    |
| April        | 03/01/98 - 03/31/98 | 04/20/98       | 04/20/98    |
| May          | 04/01/98 - 04/30/98 | 05/20/98       | 05/20/98    |
| June         | 05/01/98 - 05/31/98 | 06/20/98       | 06/20/98    |
| July         | 06/01/98 - 06/30/98 | 07/20/98       | 07/20/98    |
| August       | 07/01/98 - 07/31/98 | 08/20/98       | 08/20/98    |
| September    | 08/01/98 - 08/31/98 | 09/20/98       | 09/20/98    |
| October      | 09/01/98 - 09/30/98 | 10/20/98       | 10/20/98    |
| Pre-General  | 10/01/98 - 10/14/98 | 10/19/98       | 10/22/98    |
| Post-General | 10/15/98 - 11/23/98 | 12/03/98       | 12/03/98    |
| Year-End     | 11/24/98 - 12/31/98 | 01/31/99       | 01/31/99    |

## 24 HOUR REPORT ON INDEPENDENT EXPENDITURES

Any PAC that makes independent expenditures in connection with an election may have to file a 24 hour report. This reporting requirement is triggered if the committee makes independent expenditures aggregating \$1,000 or more after the 20th day, but more than 24 hours, before the day of the election.

\*These dates indicate the beginning and the end of the reporting period. A reporting period always begins the day after the closing date of the last report filed. If the committee is new and has not previously filed a report, the first report must cover all activity that occurred before the committee registered.

\*\* Reports sent by registered or certified mail must be postmarked by the mailing date; otherwise, they must be received by the filing date.



FEB 23 1998

CONFIRMATION OF MAILGRAM

WESTERN UNION COMMERCIAL SERVICES  
TO: NATIONAL MARINE MANUFACTURERS ASSOC PAC  
NICK BLACKSTONE  
3050 K ST, NW, #145, WASHINGTON HARBOR  
WASHINGTON DC 20007

FEDERAL ELECTION COMMISSION  
SHAWN WERTH  
999 E ST, NW.  
WASHINGTON DC 204630001

IDENTIFICATION NUMBER: C00245548

REFERENCE: YEAR-END (07/01/1997 - 12/31/1997)

DEAR TREASURER:

IT HAS COME TO THE ATTENTION OF THE FEDERAL ELECTION COMMISSION THAT YOU MAY HAVE FAILED TO FILE THE ABOVE REFERENCED REPORT OF RECEIPTS AND EXPENDITURES AS REQUIRED BY THE FEDERAL ELECTION CAMPAIGN ACT, AS AMENDED. YOU WERE PREVIOUSLY NOTIFIED OF THE DUE DATE FOR THIS REPORT.

IT IS IMPORTANT THAT YOU FILE THIS REPORT IMMEDIATELY WITH THE FEDERAL ELECTION COMMISSION, 999 E STREET, N.W., WASHINGTON, D.C., 20463. A COPY OF THE REPORT OR RELEVANT PORTIONS SHOULD ALSO BE FILED WITH THE SECRETARY OF STATE OR EQUIVALENT STATE OFFICER.

ALTHOUGH THE COMMISSION MAY INITIATE AN AUDIT OR LEGAL ENFORCEMENT ACTION CONCERNING THIS MATTER, YOUR PROMPT RESPONSE AND A LETTER OF EXPLANATION WILL BE TAKEN INTO CONSIDERATION.

IF YOU HAVE ANY QUESTIONS REGARDING THIS MATTER, PLEASE CONTACT EDWARD RYAN ON OUR TOLL FREE NUMBER (800)424-9530. OUR LOCAL NUMBER IS (202)219-3580.

SINCERELY,

JOHN D. GIBSON  
ASSISTANT STAFF DIRECTOR  
REPORTS ANALYSIS DIVISION

QUARTERLY  
**REPORT NOTICE**

Attachment # 5  
Page 1 of 2

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FEDERAL ELECTION COMMISSION

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**PARTIES AND PACS**

March 20, 1998

**WHO MUST FILE**

All Party Committees and PACs (Nonconnected Committees and Separate Segregated Funds) filing on a quarterly basis must file a Quarterly Report in April.

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|                 |                     | REG./CERT.        |                |
|-----------------|---------------------|-------------------|----------------|
| REPORT          | REPORTING PERIOD*   | MAILING<br>DATE** | FILING<br>DATE |
| April Quarterly | 01/01/98 - 03/31/98 | 04/15/98          | 04/15/98       |

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**24 HOUR REPORT ON INDEPENDENT EXPENDITURES**

Any PAC or Party Committee that makes independent expenditures in connection with an election may have to file a 24 hour report. This reporting requirement is triggered if the committee makes independent expenditures aggregating \$1,000 or more after the 20th day, but more than 24 hours, before the day of the election.

**PRE-ELECTION REPORTING**

Committees which make contributions or expenditures (including independent expenditures) in connection with an election must also file a Pre-Election Report, if the activity was not previously reported. See the January 1998 Record.

**LABEL**

Committees should affix the peel-off label from the envelope to line 1 of the report. Corrections should be made on the label.

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\*These dates indicate the beginning and the end of the reporting period. A reporting period always begins the day after the closing date of the last report filed. If the committee is new and has not previously filed a report, the first report must cover all activity that occurred before the committee registered.

\*\* Reports sent by registered or certified mail must be postmarked by the mailing date; otherwise, they must be received by the filing date.

(over)

**PARTIES AND PACS**

**April Quarterly**

**CHANGE IN FILING FREQUENCY**

Committees wishing to change their reporting schedule (for example, from quarterly to monthly) must notify the Commission in writing when they file their next report due under their current reporting schedule. Committees may change their filing frequencies no more than once per calendar year.

**ELECTRONIC FILING**

Political committees have the option of filing their reports electronically. If you are interested in this option, review the enclosed handout entitled "Electronic Filing of Disclosure Reports," and call the Data Division at (800) 424-9530 or (202) 694-1250.

**COMPLIANCE**

Treasurers of political committees are responsible for filing all reports on time. Failure to do so is subject to enforcement action. Committees filing illegible reports or using non-FEC forms (except for FEC approved computer generated forms) will be required to refile.

**REPORT OF RECEIPTS AND DISBURSEMENTS**

Attachment # 6

For Other Than An Authorized Committee  
(Summary Page)

Page 1 of 1

USE FEC MAILING LABEL OR TYPE OR PRINT

|   |  |  |
|---|--|--|
| 1. NAME OF COMMITTEE (in full) <span style="float: right;">PAC</span>                                       |  | MAR 23 10 06 AM '98  |
| National Marine Manufacturers Association   |  |  |
| ADDRESS (number and street) <input checked="" type="checkbox"/> Check if different than previously reported |  |  |
| 1819 L Street NW Suite 700  |  | 2. FEC IDENTIFICATION NUMBER<br>C00245548  |
| CITY, STATE and ZIP CODE  |  |  |
| Washington, DC 20036  |  |  |
|   |  | 3. <input checked="" type="checkbox"/> This committee has qualified as a multicandidate committee. (see FEC FORM 1M) |

**4. TYPE OF REPORT**(a) ☐ April 15 Quarterly Report☐ July 15 Quarterly Report☐ October 15 Quarterly Report☒ January 31 Year End Report☐ July 31 Mid Year Report (Non-election Year Only)☐ Termination Report

Monthly Report Due On:

☐ February 20☐ June 20☐ October 20☐ March 20☐ July 20☐ November 20☐ April 20☐ August 20☐ December 20☐ May 20☐ September 20☐ January 31☐ 12-Day Pre-Election Report for the \_\_\_\_\_  
(Type of Election)

election on \_\_\_\_\_ in the State of \_\_\_\_\_

☐ 30-Day Post-Election Report following the General Election

on \_\_\_\_\_ in the State of \_\_\_\_\_

(b) Is this Report an Amendment?

☐ YES☒ NO

| SUMMARY   |  | COLUMN A<br>This Period | COLUMN B<br>Calendar Year-to-Date  |
|---|--|-------------------------|--|
| 5. Covering Period _____ through _____  |  |                         |  |
| 6. (a) Cash on Hand January 1, 1997   |  | \$ 11529.39             |  |
| (b) Cash on Hand at Beginning of Reporting Period   |  | \$ 42190.38             |  |
| (c) Total Receipts (from Line 15)   |  | \$ 4597.00              | \$ 38757.99  |
| (d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B)                                |  | \$ 46787.38             | \$ 50287.38  |
| 7. Total Disbursements (from Line 30)   |  | \$ 500.00               | \$ 4000.00   |
| 8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d))   |  | \$ 46287.38             | \$ 46287.38  |
| 9. Debts and Obligations Owed TO the Committee (Itemize all on Schedule C and/or Schedule D)                            |  | \$ -0-                  | For further information contact:<br>Federal Election Commission<br>888 E Street, NW<br>Washington, DC 20463<br>Tel Free 800-424-6530<br>Local 202-219-3420 |
| 10. Debts and Obligations Owed BY the Committee (Itemize all on Schedule C and/or Schedule D)                           |  | \$ -0-                  |  |
| I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete. |  |                         |  |
| Type or Print Name of Treasurer<br>Mick Blackstone  |  |                         |  |
| Signature of Treasurer<br>Mick Blackstone   |  |                         | Date<br>1/15/98  |

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. §437g.

|  |  |  |  |  |  |  |  |
|--|--|--|--|--|--|--|--|
|  |  |  |  |  |  |  |  |
|--|--|--|--|--|--|--|--|

**FEC FORM 3X**

(revised 9/93)

CONFIRMATION OF MAILGRAM

WESTERN UNION COMMERCIAL SERVICES  
TO: MICK BLACKSTONE  
NATIONAL MARINE MANUFACTURERS ASSN PAC  
1819 L ST NW STE 700  
WASHINGTON DC 20036-3830

FEDERAL ELECTION COMMISSION  
SHAWN WERTH  
999 E ST. NW.  
WASHINGTON DC 204630001

MAY 7, 1998

IDENTIFICATION NUMBER: C00245548

REFERENCE: APRIL QUARTERLY (01/01/1998 - 03/31/1998)

DEAR TREASURER:

IT HAS COME TO THE ATTENTION OF THE FEDERAL ELECTION COMMISSION THAT YOU MAY HAVE FAILED TO FILE THE ABOVE REFERENCED REPORT OF RECEIPTS AND EXPENDITURES AS REQUIRED BY THE FEDERAL ELECTION CAMPAIGN ACT, AS AMENDED. YOU WERE PREVIOUSLY NOTIFIED OF THE DUE DATE FOR THIS REPORT.

IT IS IMPORTANT THAT YOU FILE THIS REPORT IMMEDIATELY WITH THE FEDERAL ELECTION COMMISSION, 999 E STREET, N.W., WASHINGTON, D.C., 20463. A COPY OF THE REPORT OR RELEVANT PORTIONS SHOULD ALSO BE FILED WITH THE SECRETARY OF STATE OR EQUIVALENT STATE OFFICER.

ALTHOUGH THE COMMISSION MAY INITIATE AN AUDIT OR LEGAL ENFORCEMENT ACTION CONCERNING THIS MATTER, YOUR PROMPT RESPONSE AND A LETTER OF EXPLANATION WILL BE TAKEN INTO CONSIDERATION.

IF YOU HAVE ANY QUESTIONS REGARDING THIS MATTER, PLEASE CONTACT EDWARD RYAN ON OUR TOLL FREE NUMBER (800)424-9550. OUR LOCAL NUMBER IS (202)694-1130.

SINCERELY,

JOHN D. GIBSON  
ASSISTANT STAFF DIRECTOR  
REPORTS ANALYSIS DIVISION

# REPORT OF RECEIPTS AND DISBURSEMENTS

For Other Than An Authorized Committee  
(Summary Page)

Attachment # 8

Page 1 of 1

3  
CLION  
JL ROGH

USE FEC MAILING LABEL  
OR PRINT  
TYPE OR PRINT

1. NAME OF COMMITTEE (in full)  
**National Marine Manufacturers Association PAC**

ADDRESS (number and street) ☐ Check if different than previously reported  
**1819 L Street, NW Suite 700**

CITY, STATE and ZIP CODE  
**Washington DC 20036**

2. FEC IDENTIFICATION NUMBER

**C00245548**

3. ☒ This committee has qualified as a multicandidate committee. (see FEC FORM 1M)

JUN 2 1 20 PM '98

## 4. TYPE OF REPORT

(a) ☒ April 15 Quarterly Report☐ July 15 Quarterly Report☐ October 15 Quarterly Report☐ January 31 Year End Report☐ July 31 Mid Year Report (Non-election Year Only)☐ Termination Report

Monthly Report Due On:

☐ February 20 ☐ June 20 ☐ October 20  
☐ March 20 ☐ July 20 ☐ November 20  
☐ April 20 ☐ August 20 ☐ December 20  
☐ May 20 ☐ September 20 ☐ January 31

☐ 12-Day Pre-Election Report for the \_\_\_\_\_  
(Type of Election)

election on \_\_\_\_\_ in the State of \_\_\_\_\_

☐ 30-Day Post-Election Report following the General Election

on \_\_\_\_\_ in the State of \_\_\_\_\_

(b) Is this Report an Amendment?

☐ YES☒ NO

## SUMMARY

| 5   | Covering Period _____ through _____  | COLUMN A<br>This Period | COLUMN B<br>Calendar Year-to-Date   |
|-----|--|-------------------------|---|
| 6.  | (a) Cash on Hand January 1, 19 <b>98</b>   |                         | <b>\$46287.38</b>   |
|     | (b) Cash on Hand at Beginning of Reporting Period  | <b>\$ 46287.38</b>      |   |
|     | (c) Total Receipts (from Line 19)  | <b>\$ - 0 -</b>         | <b>\$ - 0 -</b>   |
|     | (d) Subtotal (add Lines 6(b) and 6(c) for Column A and<br>Lines 6(a) and 6(c) for Column B)  | <b>\$ 46287.38</b>      | <b>\$ 46287.38</b>  |
| 7.  | Total Disbursements (from Line 30)   | <b>\$ 1000.00</b>       | <b>\$ 1000.00</b>   |
| 8.  | Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d))                   | <b>\$ 45287.38</b>      | <b>\$ 45287.38</b>  |
| 9.  | Debts and Obligations Owed TO the Committee<br>(Itemize all on Schedule C and/or Schedule D) | <b>\$ - 0 -</b>         | For further information contact:<br>Federal Election Commission<br>888 E Street, NW<br>Washington, DC 20543<br>Tel: Free 800-424-6530<br>Local 202-219-3421 |
| 10. | Debts and Obligations Owed BY the Committee<br>(Itemize all on Schedule C and/or Schedule D) | <b>\$ - 0 -</b>         |   |

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer

**Mick Blackstone**

Signature of Treasurer

**Mick Blackstone**

Date

**4/15/98**

NOTE: Submission of false, anonymous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. §437g.

|  |  |  |  |  |  |  |  |  |  |
|--|--|--|--|--|--|--|--|--|--|
|  |  |  |  |  |  |  |  |  |  |
|--|--|--|--|--|--|--|--|--|--|

FEC FORM 3X

(revised 3/93)

**GENERAL ELECTION**  
**REPORT NOTICE**

Attachment # 9  
Page 1 of 2

**FEDERAL ELECTION COMMISSION**

**PARTIES AND PACS**

September 30, 1998

**I. ALL MONTHLY FILERS**

| <b>REPORT</b> | <b>REPORTING PERIOD*</b> | <b>REG./CERT.<br/>MAILING<br/>DATE**</b> | <b>FILING<br/>DATE</b> |
|---------------|--------------------------|--|------------------------|
| Pre-General   | 10/01/98 - 10/14/98      | 10/19/98                                 | 10/22/98               |
| Post-General  | 10/15/98 - 11/23/98      | 12/03/98                                 | 12/03/98               |

**II. QUARTERLY FILERS THAT MAKE GENERAL ELECTION CONTRIBUTIONS OR EXPENDITURES FROM OCTOBER 1 THROUGH OCTOBER 14**

| <b>REPORT</b> | <b>REPORTING PERIOD*</b> | <b>REG./CERT.<br/>MAILING<br/>DATE**</b> | <b>FILING<br/>DATE</b> |
|---------------|--------------------------|--|------------------------|
| Pre-General   | 10/01/98 - 10/14/98      | 10/19/98                                 | 10/22/98               |
| Post-General  | 10/15/98 - 11/23/98      | 12/03/98                                 | 12/03/98               |

**III. QUARTERLY FILERS THAT DO NOT MAKE GENERAL ELECTION CONTRIBUTIONS OR EXPENDITURES FROM OCTOBER 1 THROUGH OCTOBER 14**

| <b>REPORT</b> | <b>REPORTING PERIOD*</b> | <b>REG./CERT.<br/>MAILING<br/>DATE**</b> | <b>FILING<br/>DATE</b> |
|---------------|--------------------------|--|------------------------|
| Post-General  | 10/01/98 - 11/23/98      | 12/03/98                                 | 12/03/98               |

**WHO MUST FILE**

Party Committees and PACs must follow the above charts in order to determine whether they must file a report 12 days before the general election on November 3 (the Pre-General Report). All Party Committees and PACs, regardless of financial activity, must file a report 30 days after the general election (the Post-General Election Report).

\*These dates indicate the beginning and the end of the reporting period. A reporting period always begins the day after the closing date of the last report filed. If the committee is new and has not previously filed a report, the first report must cover all activity that occurred before the committee registered.

\*\* Reports sent by registered or certified mail must be postmarked by the mailing date; otherwise, they must be received by the filing date.

(over)

**24 HOUR REPORT ON INDEPENDENT EXPENDITURES**

Any Party Committee or PAC that makes independent expenditures aggregating \$1,000 or more during the period beginning October 15 and ending November 1 must report them within 24 hours.

**LABEL**

Committees should affix the peel-off label from the envelope to line 1 of the report. Corrections should be made on the label.

**ELECTRONIC FILING**

Political committees have the option of filing electronically. If you are interested in this option, review the enclosed handout entitled "Electronic Filing of Disclosure Reports," and call the Data Division at (800) 424-9530 or (202) 694-1250.

**COMPLIANCE**

Treasurers of political committees are responsible for filing all reports on time. Failure to do so is subject to enforcement action. Committees filing illegible reports or using non-FEC forms (except for FEC approved computer generated forms) will be required to refile.





## FEDERAL ELECTION COMMISSION

WASHINGTON, D.C. 20463

MS-L

Mick Blackistone, Treasurer  
National Marine Manufacturers Association  
Political Action Committee  
1819 L Street, NW, Suite 700  
Washington, DC 20036

OCT 9 1998

Identification Number: C00245548

Reference: 1997-1998 Election Cycle Reports

Dear Mr. Blackistone:

It has come to the attention of the Federal Election Commission ("the Commission") that your committee may have violated 2 U.S.C. §434(a), by failing to timely file Reports of Receipts and Disbursements. The following is a list of the reports in question.

| <u>Report Type</u>                | <u>Due Date</u> | <u>Date Filed</u> |
|-----------------------------------|-----------------|-------------------|
| Year End (7/01/97-12/31/97)       | 1/31/98         | 3/23/98           |
| April Quarterly (1/01/98-3/31/98) | 4/15/98         | 6/02/98           |

Timely filing is a specific requirement of the Federal Election Campaign Act ("the Act") and is essential to fulfilling the public disclosure concept embodied in that law. The Commission views failure to timely file reports as a serious violation of the Act. This communication is to advise you that, notwithstanding any matters which may be pending before the Commission, any additional report which is not submitted in a timely manner by your committee may result in the Commission initiating legal enforcement or audit action.

You may submit a letter of explanation in response to this notification. If, however, you have any questions, please feel free to contact Ed Ryan on our toll-free number, (800) 424-9530. My local number is (202) 219-3580.

Sincerely,

Lisa J. Stolaruk  
Chief, Party/Non-Party Branch  
Reports Analysis Division

**REPORT OF RECEIPTS AND DISBURSEMENTS**

Attachment # 11

For Other Than An Authorized Committee  
(Summary Page)

Page 1 of 3

01/01  
11/01

Dec 1 12 17 PM '98

USE FEC MAILING LABEL  
OR  
TYPE OR PRINT

|   |  |   |
|---|--|---|
| 1. NAME OF COMMITTEE (in full)<br>National Marine Manufacturers Association<br>Political Action Committee                       |  | 2. FEC IDENTIFICATION NUMBER<br>C00245548 |
| ADDRESS (number and street) <input type="checkbox"/> Check if different than previously reported<br>1819 L Street, NW Suite 700 |  |   |
| CITY, STATE and ZIP CODE<br>Washington, DC 20036  |  |   |
| 3. <input checked="" type="checkbox"/> This committee has qualified as a multicandidate committee. (see FEC FORM 11A)           |  |   |

**4. TYPE OF REPORT**(a) ☐ April 15 Quarterly Report☐ July 15 Quarterly Report☐ October 15 Quarterly Report☐ January 31 Year End Report☐ July 31 Mid Year Report (Non-election Year Only)☐ Termination Report

Monthly Report Due On:

|                                      |                                       |                                      |
|--------------------------------------|---------------------------------------|--------------------------------------|
| <input type="checkbox"/> February 20 | <input type="checkbox"/> June 20      | <input type="checkbox"/> October 20  |
| <input type="checkbox"/> March 20    | <input type="checkbox"/> July 20      | <input type="checkbox"/> November 20 |
| <input type="checkbox"/> April 20    | <input type="checkbox"/> August 20    | <input type="checkbox"/> December 20 |
| <input type="checkbox"/> May 20      | <input type="checkbox"/> September 20 | <input type="checkbox"/> January 31  |

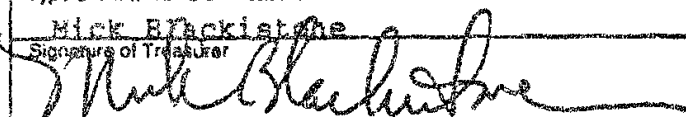
☐ 12-Day Pre-Election Report for the \_\_\_\_\_  
(Type of Election)

election on \_\_\_\_\_ in the State of \_\_\_\_\_

☒ 30-Day Post-Election Report following the General Election  
on 11/03/98 in the State of USA

(b) Is this Report an Amendment?

☐ YES☒ NO

| SUMMARY   |                           | COLUMN A<br>This Period | COLUMN B<br>Calendar Year-to-Date   |
|---|---------------------------|-------------------------|---|
| 5. Covering Period  | 10/01/98 through 11/23/98 |                         |   |
| 6. (a) Cash on Hand January 1, 19   |                           |                         | \$ 46,287.38  |
| (b) Cash on Hand at Beginning of Reporting Period   |                           | \$ 30,839.88            |   |
| (c) Total Receipts (from Line 18)   |                           | \$ 10,037.50            | \$ 37,590.00  |
| (d) Subtotal (add Lines 6(b) and 6(c) for Column A and<br>Lines 6(a) and 6(c) for Column B)                             |                           | \$ 40,877.38            | \$ 83,677.38  |
| 7. Total Disbursements (from Line 30)   |                           | \$ 15,000.00            | \$ 58,000.00  |
| 8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d))   |                           | \$ 25,877.38            | \$ 25,877.38  |
| 9. Debts and Obligations Owed TO the Committee<br>(Itemize all on Schedule C and/or Schedule D)                         |                           | \$ 0.00                 | For further information contact:<br>Federal Election Commission<br>999 E Street, NW<br>Washington, DC 20463<br>Toll Free 800-424-9530<br>Local 202-694-1100 |
| 10. Debts and Obligations Owed BY the Committee<br>(Itemize all on Schedule C and/or Schedule D)                        |                           | \$ 0.00                 |   |
| I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete. |                           |                         |   |
| Type or Print Name of Treasurer<br>Nick Blackstone  |                           | Date<br>11/23/98        |   |
| Signature of Treasurer<br>           |                           |                         |   |

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. 5437g.

|  |  |  |  |  |  |  |  |
|--|--|--|--|--|--|--|--|
|  |  |  |  |  |  |  |  |
|--|--|--|--|--|--|--|--|

**FEC FORM 3X**  
(revised 9/93)

# **DETAILED SUMMARY PAGE** **OF RECEIPTS AND DISBURSEMENTS** **PAGE 2, FEC FORM 3X**

(revised 1/1/91)

| NAME OF COMMITTEE <b>National Marine Manufacturers Association Political Action Committee</b> |              | REPORT COVERING PERIOD<br>FROM <b>10/01/98</b> TO <b>11/23/98</b> |                           |            |
|---|--------------|---|---------------------------|------------|
|   |              | COLUMN A<br>Total This Period                                     | COLUMN B<br>Calendar Year |            |
| <b>I. Receipts</b>  |              |   |                           |            |
| 11. Contributions (other than loans) From:  |              |   |                           |            |
| a. Individuals/Persons Other Than Political Committees  |              |   |                           |            |
| i. Itemized (use Schedule A)  | \$ 9,287.50  | \$ 33,688.75  |                           | 11(a)(i)   |
| ii. Unitemized  | \$ 750.00    | \$ 3,901.25   |                           | 11(a)(ii)  |
| iii. Total (add i and ii) >   | \$ 10,037.50 | \$ 37,590.00  |                           | 11(a)(iii) |
| b. Political Party Committees   | 0.00         | 0.00  |                           | 11(b)      |
| c. Other Political Committees (such as PACs)  | 0.00         | 0.00  |                           | 11(c)      |
| d. Total Contributions (add a iii, b and c) >   | \$ 10,037.50 | \$ 37,590.00  |                           | 11(d)      |
| 12. Transfers From Affiliated/Other Party Committees  | 0.00         | 0.00  |                           | 12         |
| 13. All Loans Received  | 0.00         | 0.00  |                           | 13         |
| 14. Loan Repayments Received  | 0.00         | 0.00  |                           | 14         |
| 15. Offsets To Operating Expenditures (Refunds, Rebates, etc.)                                | 0.00         | 0.00  |                           | 15         |
| 16. Refunds of Contributions Made to Federal Candidates and Other Political Committees        | 0.00         | 0.00  |                           | 16         |
| 17. Other Federal Receipts (Dividends, Interest, etc.)  | 0.00         | 0.00  |                           | 17         |
| 18. Transfers from Nonfederal Account for Joint Activity                                      | 0.00         | 0.00  |                           | 18         |
| 19. Total Receipts (add 11d, 12, 13, 14, 15, 16, 17, and 18) >                                | \$ 10,037.50 | \$ 37,590.00  |                           | 19         |
| 20. Total Federal Receipts (subtract line 18 from line 19) >                                  | \$ 10,037.50 | \$ 37,590.00  |                           | 20         |
| <b>II. Disbursements</b>  |              |   |                           |            |
| 21. Operating Expenditures:   |              |   |                           |            |
| a. Shared Federal/Non-Federal Activity (from Schedule H4)                                     | 0.00         | 0.00  |                           | 21(a)      |
| i. Federal Share  | 0.00         | 0.00  |                           | 21(a)(i)   |
| ii. Non-Federal Share   | 0.00         | 0.00  |                           | 21(a)(ii)  |
| b. Other Federal Operating Expenditures   | 0.00         | 0.00  |                           | 21(b)      |
| c. Total Operating Expenditures (add a i, a ii, and b) >                                      | 0.00         | 0.00  |                           | 21(c)      |
| 22. Transfers to Affiliated/Other Party Committees  | 0.00         | 0.00  |                           | 22         |
| 23. Contributions to Federal Candidates/Committees and Other Political Committees             | \$ 15,000.00 | \$ 58,000.00  |                           | 23         |
| 24. Independent Expenditures (use Schedule E)   | 0.00         | 0.00  |                           | 24         |
| 25. Coordinated Expenditures Made by Party Committees (2 U.S.C. 441a(d)) (use Schedule F)     | 0.00         | 0.00  |                           | 25         |
| 26. Loan Repayments Made  | 0.00         | 0.00  |                           | 26         |
| 27. Loans Made  | 0.00         | 0.00  |                           | 27         |
| 28. Refunds of Contributions To:  |              |   |                           |            |
| a. Individuals/Persons Other Than Political Committees  | 0.00         | 0.00  |                           | 28(a)      |
| b. Political Party Committees   | 0.00         | 0.00  |                           | 28(b)      |
| c. Other Political Committees (such as PACs)  | 0.00         | 0.00  |                           | 28(c)      |
| d. Total Contribution Refunds (add a, b and c) >  | 0.00         | 0.00  |                           | 28(d)      |
| 29. Other Disbursements   | 0.00         | 0.00  |                           | 29         |
| 30. Total Disbursements (add 21c, 22, 23, 24, 25, 26, 27, 28d, and 29) >                      | \$ 15,000.00 | \$ 58,000.00  |                           | 30         |
| 31. Total Federal Disbursements (subtract line 21 a ii from line 30) >                        | \$ 15,000.00 | \$ 58,000.00  |                           | 31         |
| <b>III. Net Contribution/Operating Expenditures</b>   |              |   |                           |            |
| 32. Total Contributions (other than loans) (from line 11d)                                    | \$ 10,037.50 | \$ 37,590.00  |                           | 32         |
| 33. Total Contribution Refunds (from line 28d)  | 0.00         | 0.00  |                           | 33         |
| 34. Net Contributions (other than loans) (subtract line 33 from line 32)                      | \$ 10,037.50 | \$ 37,590.00  |                           | 34         |
| 35. Total Federal Operating Expenditures (add 21 a i and 21 b) >                              | 0.00         | 0.00  |                           | 35         |
| 36. Offsets to Operating Expenditures (from line 15)  | 0.00         | 0.00  |                           | 36         |
| 37. Net Operating Expenditures (subtract line 36 from line 35) >                              | 0.00         | 0.00  |                           | 37         |

## SCHEDULE B

## ITEMIZED DISBURSEMENTS

|                 |    |
|-----------------|----|
| PAGE            | OF |
| 1               | 3  |
| FOR LINE NUMBER |    |
| 30              |    |

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

## NAME OF COMMITTEE (in Full)

National Marine Manufacturers Association Political Action Committee

| A. Full Name, Mailing Address and ZIP Code  | Purpose of Disbursement   | Date (month, day, year) | Amount of Each Disbursement This Period |
|---|---|-------------------------|---|
| Kit Bond<br>Steven Gordon & Associates<br>507 Capitol Court<br>Washington, DC 20002   | Campaign Contribution<br>Disbursement for: <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General<br><input type="checkbox"/> Other (specify) | 10/14/98                | \$ 1,000.00                             |
| B. Full Name, Mailing Address and ZIP Code<br>Bonior for Congress<br>PO Box 75214<br>Washington, DC 20013                       | Campaign Contribution<br>Disbursement for: <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General<br><input type="checkbox"/> Other (specify) | 10/16/98                | \$ 1,000.00                             |
| C. Full Name, Mailing Address and ZIP Code<br>Pallone for Congress<br>54 Broadway<br>Long Branch, NJ 07740                      | Campaign Contribution<br>Disbursement for: <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General<br><input type="checkbox"/> Other (specify) | 10/22/98                | \$ 1,000.00                             |
| D. Full Name, Mailing Address and ZIP Code<br>Mato Fong US Senate Cmte<br>888 South Figueroa St. #1120<br>Los Angeles, CA 90017 | Campaign Contribution<br>Disbursement for: <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General<br><input type="checkbox"/> Other (specify) | 10/26/98                | \$ 2,000.00                             |
| E. Full Name, Mailing Address and ZIP Code<br>Don Benton for Congress<br>16313 Northeast Leaper Road<br>Vancouver, WA 98686     | Campaign Contribution<br>Disbursement for: <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General<br><input type="checkbox"/> Other (specify) | 10/29/98                | \$ 500.00                               |
| F. Full Name, Mailing Address and ZIP Code<br>Brian Bilbray for Congress<br>4250-D Clairmont Mesa Blvd.<br>San Diego, CA 92117  | Campaign Contribution<br>Disbursement for: <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General<br><input type="checkbox"/> Other (specify) | 10/29/98                | \$ 500.00                               |
| G. Full Name, Mailing Address and ZIP Code<br>Coble for Congress<br>PO Box 1177<br>Greensboro, NC 27402                         | Campaign Contribution<br>Disbursement for: <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General<br><input type="checkbox"/> Other (specify) | 10/28/98                | \$ 500.00                               |
| H. Full Name, Mailing Address and ZIP Code<br>Taylor for Congress<br>PO Box 2355<br>Asheville, NC 28802                         | Campaign Contribution<br>Disbursement for: <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General<br><input type="checkbox"/> Other (specify) | 10/28/98                | \$ 500.00                               |
| I. Full Name, Mailing Address and ZIP Code<br>Re-elect Nancy Johnson to C.<br>PO Box 1986<br>New Britain, CT 06050              | Campaign Contribution<br>Disbursement for: <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General<br><input type="checkbox"/> Other (specify) | 10/28/98                | \$ 1,000.00                             |

SUBTOTAL of Disbursements This Page (optional)

\$ 8,000.00

TOTAL This Period (last page this line number only)



# FEDERAL ELECTION COMMISSION

Washington, DC 20463

## MEMORANDUM

TO: Office of the Commission Secretary

FROM: Office of General Counsel *Rad*

DATE: December 30, 1999

SUBJECT: RAD Referral 99NF-14 - First General Counsel's Rpt.

The attached is submitted as an Agenda document for the Commission Meeting of \_\_\_\_\_

Open Session \_\_\_\_\_

Closed Session \_\_\_\_\_

### CIRCULATIONS

SENSITIVE ☒  
NON-SENSITIVE ☐

72 Hour TALLY VOTE ☐  
24 Hour TALLY VOTE ☐  
24 Hour NO OBJECTION ☐  
INFORMATION ☐  
96 hour Tally Vote ☒

### DISTRIBUTION

COMPLIANCE ☒  
Open/Closed Letters ☐  
MUR ☐  
DSP ☐  
STATUS SHEETS ☐  
Enforcement ☐  
Litigation ☐  
PFESP ☐  
RATING SHEETS ☐  
AUDIT MATTERS ☐  
LITIGATION ☐  
ADVISORY OPINIONS ☐  
REGULATIONS ☐  
OTHER ☐